| BOROU | IGH or Mase | NOTHEV-08 | 3814-LLS ERTIFIC | | ment 9-3 F DEATH | Filed 10/2 1 5 6 | | , , | 1 of 22 70 |
|-----------------------------------|---|------------------------------------|--|--|--------------------------------|---------------------------------|--------------|---|---------------------|
| 75 OC 1 | ^ | 1. NAME DECE. (Type or | Print) | uta First Nan | | S e-Name | Last | berg Name (| |
| | MEDICA | AL CERTIF | CATE OF I | DEATH (7 | To be filled in b | y the Physic | cian) | | [|
| 2. PLACE OF DEATH | a. New York City b. Borough Manhattan | c. Name of H | Israel | Medi | | Pess F | RED | ACTE | D |
| BA. DATE AN HOUR OF DEATH | (Month) | | , | b. Hour 5: 45 AV | M PM 1. SEX | 9LE | 6. A | 63 | |
| 1975. I manner and | further certify; that to was due entirely to N | ept 17 raumatic injury ATURAL CAUS | or poisoning DII SES, • Cross out w | LT 18 D NOT play a pords that do | .19.75and last say | eath, and that distriction on a | e at ./ | M on OCTO! | |
| | hand this 18th day | . Octob | er 10 73 | Signature | Leurs (| uscul | ν | | M.D. |
| Name of P | Type or Print | Presch | <i>e)</i> | Address | 104-40 4 | eeus Bl | vd; 1 | N.Y. N. | |
| | PE: | RSONAL PA | RTICULAR | S (To be) | illed in by Fune | ral Director |) 200 | | |
| 7. | a. State New Yorl | | New Yor | 100 m | c. City of Town New York | | d. | Inside city limi (Specify Yes or Yes | No) |
| USUAL RESIDENCE | e. Street and house t | umber Grand S | treet | | | Nev | York in 29 y | idence or stay in imediately prior CATS | City of to death |
| 8. SINGLE, M | ARRIED, WIDOWED | or DIVORCED | (Write in word) | 9. N | ME OF SURVIVIN | c spouse (if Schwar | | | |
| | Married | | j | | Hacher | | | If LESS than | 1.40- |
| 10. DATE OF BIRTH O DECEDER | F | (Day) | (Year) 1912 | 11. AGE at last birthday | 63 Yrs. | MOS. | days | hrs. or | min. |
| 12a. USUAL working | OCCUPATION (Kind life, even if retired.) | of work done do abbi | oring most of | S | ynagogue | | | CIAL SECURIT | |
| 14. BIRTHPI | ACE (State or Foreig | n Country) | | | AT COUNTRY WAS | DECEASED A | CITIZEN | AT TIME OF D | EATH |
| P | oland | | | | U.S. | · | | | |
| 16. ANY OT | HER NAME(S) BY V | v inch deced Nuta N | ent was kno aier Sh | ainoer | | | | | |
| 17. NAME O | FFATHER OF DEC hael Shai | nberg | | Ta | n name of mote ube | • | DENT | | |
| Rach | of informant el Shainbe | | wi | | 3 | | | .New Yor | |
| Har H | of CEMETERY OF C | REMATORY Cometery | | salem, | n or Country and Sta Israel | ite) | Oct | e of Burial or 5 ober 20/ | 775 |
| 21a. FUNER | eim Orthod | | | 93 | ress Broadway, | · | - | ew York | |
| BUREAU | OF VITAL RECO | ORDS | DEPAI | RTMENT (| OF HEALTH | Т | HE CIT | Y OF NEW | YORK |
| 9.50 | | V/V | | | musik/Wis-musik/200 | | A SAV | Married MANAGEMENT | 200 |

This is to certify that the foregoing is a true copy of a record on file in the Department of Health. The Department of Health does not certify to the truth of the statements made thereon, as no inquiry as to the facts has been provided by law.

EARLENE PRICE CITY REGISTRAR

Do Not accept this transcript unless it bears the raised seal of the Department of Health. The reproduction or alteration of this transcript is prohibited by Section 3.21 of the New York City Health Code.

DEPARTMENT OF HEALTH

THE CITY OF NEW YORK

| G | se 1:07-cv-08814-I | LS Document 9-3 | Filed 10/23/2007 | Page office Use Only ng Fee Paid \$ |
|----------------|---|--|---|---|
| 148 | • | | \$ | Bond, Fee: |
| | | DO NOT LEAVE ANY | | eipt No: No: |
| | | DO NOT LEAVE AND | I I LIVIS DLANK | • |
| | ATE'S COURT OF TI | HE STATE OF NEW | YORK | ; . |
| ADMINIST | FRATION PROCEEI | • | ——X PETITI | ION FOR LETTERS OF: |
| Estate of | NUTA SHI | AINBERG | · · · · · · · · · · · · · · · · · · · | inistration |
| a/k/a | 10 - 111 | | · \- | ted Administration |
| | | | [] Adm | ninistration With Limitations porary Administration |
| | • | | 77.1 | No. 7442/98 |
| | | Decea | | No. 2.112/1 |
| | | | X | • |
| TO THE S | URROGATE'S COU | RT, COUNTY OF NE | W YORK: | |
| It is resp | pectfully alleged: | | | • |
| 1. The | name, domicile and inter | rest in this proceeding of | the petitioner, who is of fi | ıll age, is as follows: |
| Name: _ | JOSHUA | SHAINBERG | . / | |
| Domicile: | 285 ERA | ND 27. | APT # L-800 | New York |
| | (Street and Num | ber) | (City / Town Village) | 70007011 |
| New | (County) | New York (State) | /0002 (Zip Code) | 2/2-685-722 (Telephone Number) |
| | (County) | (State) | (Zip Code) | (Telephone Number) |
| Mailing | Address: | /1¢ 2:65- | rent from domicile) | |
| Citizenship (| (check one): [1] U.S.A. | [] Other (specify) | | |
| Interest of Pe | etitioner: [check one] | | | |
| | Distributee of the decede | mt (ctata relationship) | SON | |
| | Other (specify) | an (state relationship) | | |
| | | orney? [] Yes [UNo [] ounting of Attorney-Fidu | If yes, submit statement pu ciary).] | rsuant to 22 NYCRR |
| follows: [The | e Death Certificate mus | t be filed with this proce | nal citizenship of the above eeding. If the decedent's didavit explaining the reason | omicile is different from that |
| Name: | UTA SHAINBE | ng . | 11 11/2 H Ali | |
| Domicile: | (Street Number) | ST. #L-806 (City, Vill | New YOR IV (State) | (Zip Code) |
| Township of: | : NewYork | | County of: Wew Place of Death: | fort - |
| Date of Death | h: 10/18/75 | | Place of Death: Man he | itten, New York |
| GRAU | (check one): [V U.S.A. UBARD DECL. EX. 7 | | • | SEC∯98082 |

| The estimated gross value of the decedent's personal property passing by intestacy is less than \$ 120,000 |
|---|
| (b) The estimated gross value of the decedent's real property, in this state, which is [] improved, [] unimproved, passing by intestacy is less than \$ |
| A brief description of each parcel is as follows: |
| (c) The estimated gross rent for a period of eighteen (18) months is the sum of \$ |
| (d) In addition to the value of the personal property stated in paragraph (3) the following right of action existed on behalf of the decedent and survived his/her death, or is granted to the administrator of the decedent by special provision of law, and it is impractical to give a bond sufficient to cover the probable amount to be recovered therein: [Write "NONE" or state briefly the cause of action and the person against whom it exists, including names and carrier]. |
| (e) If decedent is survived by a spouse and a parent, or parents but no issue, and there is a claim for wrongful death, check here [] and furnish names(s) and address(es) of parent(s) in Paragraph 7. See EPTL 5-4.4. |
| 4. A diligent search and inquiry, including a search of any safe deposit box, has been made for a will of the decedent and none has been found. Petitioner(s) (has) (have) been unable to obtain any information concerning any will of the decedent and therefore allege(s), upon information and belief, that the decedent died without leaving any last will |
| 5. A search of the records of this Court shows that no application has ever been made for letters of administration upon the estate of the decedent or for the probate of a will of the decedent, and your petitioner is informed and verily believes that no such application ever has been made to the Surrogate's Court of any other county of this state. **Color of the Result of the Surrogate's Court of any other county of this state. **Information is required only as to those classes of surviving relatives who would take the property of decedent pursuant to EPTL 4-1.1. State "number" of survivors in each class. Insert "No" in all prior classes. Insert "X" in all subsequent classes]. |
| 6. The decedent left surviving the following who would inherit his/her estate pursuant to EPTL 4-1.1 and 4-1.2: |
| a. [/] Spouse (husband/wife). [If the decedent was divorced, see Uniform Court Rule 207.50]. |
| b. [Must include marital, nonmarital, and adopted]. |
| c. [X] Any issue of the decedent adopted by persons related to the decedent (DRL Section 117). |
| d. Mother/Father. |
| e. [X] Sisters or brothers, either of whole or half blood, and issue of predeceased sisters or brothers. |
| f. Grandmother/Grandfather. |
| g. Munts or uncles, and children of predeceased aunts and uncles (first cousins). |
| h. [x] First cousins once removed (children of first cousins). |
| 7. The decedent left surviving the following distributees, or other necessary parties, whose names, degrees of relationship, domiciles, post office addresses and citizenship are as follows: JOSHWA SHAINBERG |
| [Note: Show clearly how each person is related to decedent. If relationship is through an ancestor who is deceased, give name, date of death, and relationship of the ancestor to the decedent. Use rider sheet if space in paragraph (7) is not sufficient. See Uniform Rules 207.16(b). |

If any person listed in paragraph (7) is a nonmarital person, or descended from a nonmarital person, attach a copy of the order of filiation or Schedule A. If any person listed in paragraph (7) was adopted by any persons

SE@140083

related by blood or marriage to decedent or descended from such persons, attach Schedule B].

GRAUBARD DECL. EX. 7
A-1 (4-98) Petition For Letters of Administration edit rev 07-08-98 ms. wpd Page 2 of 9 Pages

3(a) through 3(c): Donot include may assets that are jointly held held in gast for abother, or

6,8130°

ed beneficiary.

| Name | Relationship | Domicile and Mailing Address Citizenship |
|----------------------|--|--|
| 1-1 JOSHUY | tshain beac, so | N, 385 Grand ST.#L-806 NYNY 10002 (1 |
| Abrahan | y Shainborg, Son | BH 21 NY 11 729 |
| , Morri | s Shallberg son | Los Angeles, CALIF |
| - Dachel | | 385 Frands T. #L-806, MY. MY 10002 (Cample) |
| (| D.OD, 3-18-90 | - Administration of Estate |
| | | Shainkers Under 1991-90- a |
| | distributes An | Shainkerg Under 1990 1441-90- a |
| | 7,0,0 | |
| | | REDACTED |
| | ······································ | |
| | | |
| | | |
| | | |
| | | |
| .(b) The following a | are infants and/or persons unde | er disability: [Attach applicable Schedule A, B, C and/or |
| .(b) The following a | | er disability: [Attach applicable Schedule A, B, C and/or Domicile and Mailing Address Citizenship |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | er disability: [Attach applicable Schedule A, B, C and/or Domicile and Mailing Address Citizenship |
| | are infants and/or persons unde | |
| | are infants and/or persons unde | |

no outstanding debts or funeral expenses, except: [Write "NONE/2010 state same] 5 of 22

NONE

There are no other persons interested in this proceeding other than those hereinbefore mentioned. WHEREFORE, your petitioner respectfully prays that: [Check and complete all relief requested] a. process issue to all necessary parties to show cause why letters should not be issued as requested; b. an order be granted dispensing with service of process upon those persons named in Paragraph (7) who have a right to letters prior or equal to that of the person nominated, and who are nondomiciliaries or whose names or whereabouts are unknown and cannot be ascertained; c. a decree award Letters of: JOSHUA SHAINBERG Administration to _ [] Limited Administration to _____ Administration with Limitation to [] Temporary Administration to ___ or to such other person or persons having a prior right as may be entitled thereto, and; d. That the authority of the representative under the foregoing Letters be limited with respect to the prosecution or enforcement of a cause of action on behalf of the estate, as follows: the administrator(s) may not enforce a judgment or receive any funds without further order of the Surrogate. [] e. That the authority of the representative under the foregoing Letters be limited as follows: [] f. [State any other relief requested]. (Signature of Petitioner) . (Print Name)

COMBINED VERIFICATION, OATH AND DESIGNATION

[For use when petitioner is to be appointed administrator]

the undersigned, the petitioner named in the foregoing petition, being duly sworn, say:

- 1. VERIFICATION: I have read the foregoing petition subscribed by me and know the contents thereof, and the same is true of my own knowledge, except as to the matters therein stated to be alleged upon information and belief, and as to those matters I believe it to be true.
- 2. OATH OF ADMINISTRATOR as indicated above: I am over eighteen (18) years of age and <u>A CITIZEN OF THE UNITED STATES</u>; and I will well, faithfully and honestly discharge the duties of Administrator of the goods, chattels and credits of said decedent according to law. I am not ineligible to receive letters and will duly account for all moneys and other property that will come into my hands.
- 3. **DESIGNATION OF CLERK FOR SERVICE OF PROCESS**: I do hereby designate the Clerk of the Surrogate's Court of New York County, and his/her successor in office, as a person on whom service of any process, issuing from such Surrogate's Court may be made in like manner and with like effect as if it were served personally upon me, whenever I cannot be found and served within the State of New York after due diligence used.

(ST. #L-806) NY N (City/Village/Town)

| Signature of Petitioner |
|--|
| |
| On the 3 day of August , 19 29, before me personally came Tosky A SHANBERS to me known to be the person described in and who |
| Joshu A SHANDERS to me known to be the person described in and who |
| executed the foregoing instrument. Such person duly swore to such instrument before me and duly acknowledged that |
| Notary Public Notary Public Notary Public Notary Public Notary Public Notary Public Commission Expires May 3200000 (Affix Notary Stamp or Seal) |
| Signature of Attorney:(As required by Part 130 of the Rules of the Chief Administrator) |
| Print Name: |
| Firm Name: Telephone No.: |
| Address of Attorney: |

(Zip)

THE CERTIFIED COPY MUST BE RETAINED BY THE VOLUNTARY

FOR FILING WITH THE REPORT AND ACCOUNT

| SURROGATE'S COURT OF THE STATE COUNTY OF NEW YORK | | • | | The same of the sa |
|---|---|--|--------------------------------|--|
| VOLUNTARY ADMINISTRATION, Estate | of | TO SI | VIT IN REL | OF |
| NUTA SHAINBERG V | , | | TATE UNDE ICLE 13, SC | |
| | Deceased X | File No | 244 | 2 |
| STATE OF NEW YORK [IN COUNTY OF ss: The | ISTRUCTIONS: In is may be done in so | completing this forme instances by cances by inserting | orm, answer earossing out wor | rds in paren- nformation.] |
| 1. JOSHUA SHAINBER | [| being | duly sworn, d | epose and say: |
| (1) My domicile is 385 GRAND | STREE | T # L-8 | 206 1 | VEWYORK |
| (Street address) | | (City/Ta | own/Village) | |
| NEWYORK N.Y. | 10002 | <u> </u> | 12- | |
| (County) (State) | (Zip) | | (Telephone | Number) |
| | rent from domicile) | · · · · · · · · · · · · · · · · · · · | | |
| Citizenship: <u>USA</u> | | | , | |
| (2) My interest is: [X] Distributee of decedent | SCN (R | elationship) | | |
| [] Other (Specify) | | | | |
| (3) The name, domicile, date, place of death, and citize as follows: | | | te this proceed | ling relates, are |
| Name of Decedent (a/k/a, if applicable): | A SHAII | VBERG | | |
| Name of Decedent (a/k/a, if applicable): Note that Domicile of Decedent: 385 (AAND) | ST- # 4-806 | New 10. | K NY | 10002 |
| (Street address) / | (City/ TOWID VIIIa) | (C) | County) | (Duite) |
| Date of Death: $10-18-75$ | Place of I | Death: New York | ork Ne | WYORK |
| | | . (| City/Town/Vill | lage) (State) |
| Citizenship: USA | | | | |
| (4) Decedent died: M Intestate (without a will) | 1 | | | |
| [] Testate (the original will is att | ached) | | | |
| Date of original will: | | | | |
| (5) A search of the records of the Court shows that no a administration, letters of administration or for probate | application has been of a will, and you | made in the estate | e of the decedented and verily | nt for voluntary believes that no |

SEGHADA7

such application ever has been made to any other Surrogate's Court of this state. SEIA (3/91)

REDACTED

(6) The name and addresses of the decedent's distributees under New York law, including non-martial and adopted children, and decendants of predeceased non-marital and adopted children, and their relationship to the decedent, are as follows: [If more space is needed, add a sheet of paper]

| Name | | Post Office Address (including Zip) | Relationship (Indicate if non-marital) |
|------------------------------------|--|--|--|
| JOSHUA | SHAINBERG | 385 GRANDST. NY NY 10002 | SoM |
| Monris Racher (7) (If decedent h | Shainberg Shainberg Shainberg Shainberg ad a will) The names and space is needed, add a st | Bonklyn 41129 Pogr- Pecenter (3-18- addresses of all beneficiaries in the will neet of paper) | Sow Wife 1 of the decedent filed herewith are as |
| Name | 10 WIII. | Post Office Address (including Zip) | Bequest |

- (8) The value of the entire personal property, wherever located, of the decedent, exclusive of joint bank accounts, trust accounts, U.S. savings bonds POD (payable on death), and jointly owned personal property, or property exempt under the EPTL §5-3.1, does not exceed \$10,000.
- (9) The following, exclusive of joint bank accounts, trust accounts, U.S. savings bonds POD (payable on death), and jointly owned personal property, or property exempt under the EPTL §5-3.1, is a complete list of all personal property owned by the decedent, either standing in his/her own name or owned by him/her beneficially and including items of value in any safe deposit box: [If more space is needed, add a sheet of paper]

| Items of Personal Property Separately Listed | Value of Each Item | | |
|--|--------------------|--|--|
| CO-of Shares | \$2000.°° | | |

TOTAL: \$ UPP Q

33-743_.3/91cs.

(10) All the liabilities of the decedent known to me are as follows: (If more space is needed, add a sheet of paper)

Document 9-3

| Name of Creditor | Amount Owned |
|------------------|--------------|
| NA | |

- (11) I undertake to act as voluntary administrator/trix of the decedent's estate, and to administer it pursuant to Article 13 of the Surrogate's Court Procedure Act. I agree to reduce all of the decedent's assets to possession; to liquidate such assets to the extent necessary; to open an estate bank account in a bank of deposit or savings bank in this state, in which I shall deposit all money received; to sign all checks drawn or withdrawals from such account in the name of the estate by myself, as voluntary administrator/trix; to pay the expenses of administration, the decedent's reasonable funeral expenses and his/her debts in the order provided by law; and to distribute the balance to the person or persons and in the amount or amounts provided by law. As voluntary administrator/trix, I shall file in this court an account of all receipts and of disbursements made.
- (12) I understand that this proceeding will not determine the estate tax liability, if any, in the event that the decedent had any interest in real property or any joint bank accounts, trust accounts, U.S. savings bonds POD (payable on death), or jointly owned or trust property.

| (13) If letters testamentary or of administration are later granted, I a shall cease, and I shall deliver to the court appointed fiduciary a | acknowledge that my pow- complete statement of my | ers as voluntary a account and all | assets and funds |
|--|--|---------------------------------------|------------------|
| of the estate in my possession. | and her | Slave | hom |

Signature of Affiant

Sworn to before me on My Commission Expires: TELEPHONE NUMBER:

13-743c.4/91cb

Filed 10/23/2007 Case 1:07-cv-08814-LLS Document 9-3 CERTIFICATE OF DEATH -- Certificate No. UH 1. NAME OF Shainberg Rachel **DECEASED** (Last Name) (Middle Name) (First Name) (Type or Print) OFFICE OF CHIEF MEDICAL EXAMINER 520 FIRST AVENUE NY 10018 NY (To be filled in by the Physician) MEDICAL CERTIFICATE OF DEATH 2d. If Inpatient, date of 2c. If in Hospital or Other Facility (Check)
1 DOA 3 DOUTE 2b. Name of hospital or other facility NEW YORK CITY current admission Day if not facility, street address Month 3 C Outpation BOROUGH 4 | Inpatient Beekman Downtown Hospital 2:120@nera Manhattan APPROXIMATE AGE 4. 5EX 34. (Month) (Day) (Year) TE AND HOUR OF DEATH FOUND DEAD 60 Years March 18, 1990 KXPW 4:50 INTERVAL BETWEEN ONSET AND DEATH Enter only one cause per line DEATH WAS CAUSED BY: a immediate cause Arteriosclerotic heart disease. b. Oue to or as a consequence of ART 1 c. Due to or as a consequence of d. Other significant conditions contributing to death but not resulting in the underlying cause given in part 1 ART 2 71. HOW INJURY OCCURRED 74. PLACE OF INJURY - AI home, form, form, factory, office building, all (Specify).
74. LOCATION 7L AT WORK INJURY DATE OF INJURY (Month) (Day) (Year 7b. TIME 1 D YES 2 D NO DAMDEN 10. On the basis of examination and/or level gation, in my opinion, death occurred due to the causes and manner as stated: Manner of Death (Check all that apply)
Pending XX Natural
C Investigation (P/FM) C Accident
D Toxicology D Suicide 9, Autopsy C Yes CERTIFIER SIGNATURE: Pursuant to L O Homicide

D Undetermined Further Study O No Autopsy DATE: 124. Date Pronounced Oned (Month, Der. Year) 12b, TIME (If different from 34) CERTIFIER NAME (Print) Vernard I. Adams . M.E. CIK NO. uralidescalatabicaciquescalescalescales (Debnia &CALA ELAbilimeter Exemplea (90 - 2506)PERSONAL PARTICULARS (To be filled in by Funeral Director) Limits of 7c E Yes I No Apt. No. 13d Street & House No IX City, Town, or Location 3. Usual Residence 13b County a. State GARAND YORIL vor YCKK 16. Name of Surviving Spouse (If wife, give maiden name) 15. Mantal Status (Check Opel 1 - Never Married 2 - Widowed in U.S Yes Armed Forces ed or separated "4 T Devorced To If under 1 Year If less than 1 Day 19. Social Security No. 18. Age at last birthday (Year) (Month) (Day) 17. Date of birth days men. 60 of Decedent 20 b. Kind of Business 20a. Usual Occupation (Kind of work done during most of working lifetime, do not enter retired) 23. Other name(s) by which decedent was known 22. Education 1Check only one)
0-11 12 13-15 16 17
0-1 0-2 0-3 0-4 0 Birthplace (City & State or Foreign Country POLHNO 25. MAIDEN NAME OF MOTHER OF DECEDENT 24. NAME OF FATHER OF DECEDENT CHANA SLAWART MAYER (Zip) (State) 26c. ADDRESS (City) 26 D. RELATIONSHIP TO NAME OF INFORMANT DECEASED BROOKEN N.Y. 1224 SHRINGERC SON 2/C DATE OF BURIAL OR CREMATION 27h LOCATION (City, Town, State and 274 NAME OF CEMETERY OR CREMATORY Z-19-90 ELM ENT NHUM 4511 ET. FUNERAL DIRECTOR SHOMREI HADAS CHAPELS HAMILTON P'KWY.. B'KLYN THE CITY OF NEW YORK DEPARTMENT OF HEALTH **BUREAU OF VITAL RECORDS** This is to certify that the foregoing is a true copy of a record on file in the Department of Health. The Department of Health does not certify to the truth of the statements made thereon, as no inquiry as to the facts has been provided by law. STEVEN P. SCHWARTZ CITY REGISTRAR Do Not accept this transcript unless it bears the raised seal of the Department of Health. The reproduction or alteration of this transcript is prohibited by Section 3.21 of the New York City Health Code.

DEPARTMENT OF HEALTH

DATE ISSUED

. . .

DOCUMENT NO.

THE CITY OF NEW YORK

SURROGATE'S COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK

In the Matter of the Estate of

RACHET SHAINBERG

Deceased.

AFFIDAVIT IN RELATION TO SETTLEMENT OF ESTATE **UNDER ARTICLE 13, SCPA** (VOLUNTARY ADMINISTRATION)

File No.

INSTRUCTIONS: In completing this form, answer each question. This may be done in some instances by crossing out words in parenthesis and in other instances by inserting the required information.

STATE OF NEW YORK

COUNTY OF NEW YORK

I. Abe M. Shainberg, being duly sworn, deposes and says:

1. My domicile is

Brooklyn NewYork 11229

(Street/Number)

(City, Village/Town)

(State)

(Zip Code)

2. I am the (state relationship) of RACIEL SHAINBERG , deceased, and make this affidavit pursuant to Article 13 of the Surrogate's Court Procedure Act.

3. The name of the decedent is:

RACHEL SHAMBERG

4. Decedent died on

at

MAKCH 18 . 1990.

JACK La Lanné

233 Brandway

new york

(Place of Death)

(Street/Number)

(City, Village/Town)

(State)

(Zip Code)

5. At the time of death, decedent was domiciled at:

385 Grand St. New York MY. 10002 (Street/Number) (City, Village/Town) (State) (Zip Con

6. Decedent died: (check applicable line)

Intestate (without a will)

Testate (with a will which is filed with this affidavit).

Date of will:

- 7. Search of the records of the Court, show that no application has been made in the estate of the decedent for voluntary administration, letters of administration or probate of a will, and your affiant is informed and verily believes that no such application ever has been made to any other Surrogate's Court of this state.
- 8. The value of the entire personal property, wherever located, of the decedent, exclusive of joint bank accounts, trust accounts, United State Savings Bonds payable on death and jointly owned personal property, does not exceed \$10,000.00.

GRAUBARD DECL. EX. 10

| 9. | 9. The names and addresses of the decedent's distributees under | New | York law, | includ | ling |
|----|--|-------|-------------|--------|--------|
| | children of descendents of predeceased non-marital children, and | their | relationshi | p to t | he dec |
| | as follows: | | | | |

| Name | | Address | Relationship |
|---------------|------------------------|------------------------------|--------------|
| Morris | Snamberg | Les Anjetes California | SON |
| Joshva Abe | shainberg shainberg | 3 85 RAND ST MM M Brooklyn N | Son 4 SON |

10. The names and addresses of all beneficiaries in the will of decedent filed herewith, and their relationship to the decedent, are as follows:

Name

Address

REDACTED

Relationship

(If more space is needed, add a sheet of paper)

11. The following, exclusive of joint bank accounts, trust accounts, United States Savings Bonds payable on death and jointly owned personal property, is a complete list of all personal property owned by the decedent, either in his/her own name or owned beneficially, and including items of value in any safe deposit box:

items of Personal Property Separately Listed Brai 3100 Like Insuran palicy

Value of Each Item 8 7,000, AU

(If more space is needed, add a sheet of paper)

12. All the liabilities of the decedent known to me are as follows (names of creditors and amounts):

Abe Shamberg - Fineral expenses - 2200.

- 13. I undertake to act as voluntary administrat of the decedent's estate and to administer it pursuant to Article 13 of the Surrogate's Court Procedure Act.
- 14. In doing so, I agree to reduce all of the decedent's assets to possession; to liquidate such assets to the extent necessary; to open an estate bank account in a bank of deposit or savings bank in this state in which I shall deposit all monies received; to sign all checks drawn on or withdrawals from such account in the name of the estate by myself as voluntary administrat ; to pay the expenses of administration, the decedent's reasonable funeral expenses and his/her debts in the order provided by law; and to distribute the balance to the person or persons and in the amount or amounts provided by law. As voluntary administrat , I shall file in this court an account of all receipts and of disbursements made.
- 15. I understand that this proceeding will not determine the estate tax liability, if any, in the event that the decedent had any interest in real property or any joint bank accounts, trust accounts, United States Savings Bonds payable on death or jointly owned or trust property.
- 16. If letters testamentary or of administration are later granted, I acknowledge that my powers as shall cease, and I thereupon shall deliver to the rightful execut voluntary administrat administrat a complete statement of my account and all assets and funds of said estate in my possession.

Sworn to before me this 29 day of 171 MC , 19 9,

MICHAEL BUTRONE magioner of Deeds land in New York County

Az M- Thain beg

PREMARITAL AGREEMENT

This premarital agreement is made on the First day of January, 1997 between Joshua Shainberg and Laryssa Shepherd. Whereas the parties intend to marry under the laws of the State of New York and wish to set forth in advance of this marriage the rights and privileges that each will have in the property of the other in the event of death, divorce or other circumstances which results in the termination of the marriage. If the maarriage continues, these terms are agreed to by both parties and the transfer and intent to transfer is effective as of today. Whereas the parties have disclosed to each other a full and complete disclosure of their assets as set forth in exhibit A.

Whereas both parties have consulted independant counsel of their own choosing and both parties have received a full and complete explanation of their legal rights, the consequences of entering into this agreement, and the rights they would possesss were it not for their voluntary entry into this agreement, and Whereas both parties have acknowledged that they have read and understand the agreement, have not been subject to any coercion, pressure or duress, believe this agreement to be fair and represent that their intentions with regard to their assets and to any estate that should result from their marriage.

The parties acknowledge and agree as follows:

1. Joshua Shainberg should transfer after marriage his interest to the cooperative at 385 Grand Street, Apartment L806, New York, N.Y.



10002.

- 2. As Joshua Shainberg and his brothers, Abe and Mark are attempting through the Surrogate and Probate Courts to transfer their interest in this cooperative apartment to Joshua Shainberg from the estate of his parents, it is agreed that after this process Joshua Shainberg will transfer this apartment to Laryssa Shepherd. I, Laryssa Shepherd fully understand that this property will be transferred to me and because of the Court system it may take some tim 3. Both parties acknowledge that Joshua Shainbergs brothers have both consented to this transfer to me and are doing so because of the marriage. The reason that both brothers may have to transfer their interest to "oshua before he transfers the cooperative to me is based upon various New York surrogate issues as well as the intent of the brothers to make sure that the marriage goes forth.

 4. In the event of divorce, Joshua Shainberg shall have no right
- 4. In the event of divorce, Joshua Shainberg shall have no right to the cooperative apartment nor can ask Laryssa Shepherd to return this property to him.
- 5. Joshua Shainberg acknowledges that this transfer will not cause him in any way to become insolvent and he has independent assets.
 6. Laryssa Shainberg comes into the marriage with substantial assets.
 Laryssa Shainberg will become her name at marriage.

7. Joshu a Shainberg will receive consideration for the transfer to Laryssa Shepherd of this cooperative apartment. The value of the property is no more than \$15,000. Laryssa Shepherd will give \$25,000. in art and \$25,000. in stamps to Joshua 90 Shainberg for this transfer and is doing so immediately.

These assets are fully owned by Laryssa Shepherd without lien, obligation or mortgage to those assets.

- 8. Both parties are currently employed and can contribute to the household at marriage.
- 9. This agreement is biding and benefit both parties, their successors, assigns and representatives.
- 10. This agreement shall be interpreted and enf orced under the laws of the State of New York.
- 11. It is the desire of both parties that all provisions of this agreement be recognized in full force and effect. If any part of this agreement is held invalid or unenforceable then any provision of this agreement can be and should be regarded seperable. The intention of both parties are to be respected and the legal status of this agreement is duly executed by both parties.

Signedi this First day of January , 1997.

FIANCE

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WITNESS

WITNESS

EXHIBIT A

Laryssa Shainberg owns without obligation or lien 150,000 dollars worth of art and 150,000 dollars worth of stamps prior to marriage to Joshua Shainberg. Joshua Shainberg owns or will own after surrogate/probate and agreement with his brothers the cooperative apartment at 385 Grand Street, New York City.

Joshua Shainberg also owns art, and other collectibles valued at over \$50,000. Both parties are currently employed.

Dated January 1, 1997

Joshua Shainberg

Laryssa Shepherd



6 East 43rd Street • New York, NY 10017 • Tel: 212-682-7373 • Fax: 212-682-5441

TRANSMISSION COVER SHEET

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Joshua Shainberg 385 Grand Street Apartment L-806 N.Y. N.Y. 10002

REDACTED

■home, 917-212cell

April 18, 2001 Vivian Robinson **SPHC** 212-477-1428fax

Dear Ms. Robinson,

Enclosed is a letter that was sent to Mr. Don West. Today I have spoken to Mr. Steven Anderson, SPHC attorney's. He told me that Don West told him to send my file back to your offices. Today you have told me that it is your understanding that I just need to file a new application" to get the certificate into my(and my wife) name. I perfectly understand that this means that Don West is graciously allowing me to skip a step from the certificate transferring from :1)Nuta Shainberg to 2)Nuta Shainberg, Joshua Shainberg, Administrator to 3) Joshua (and wife) Shainberg. This is based on a Letter of Administration issued to me by Probate Court. You have this LOA of the court in your file.

GRAUBARD DECL. EX. 13

SEC125 \$P0141

P.02

Be that as it may, I am still requesting that this certificate, which does not need Board approval be issued the correct way. After receiving this certificate with me as Administrator, I will then give you another completed application to change it officially into my(and my wife) name alone. If the change of Nuta Shainberg to Nuta Shainberg, Joshua Shainberg, Administrator needs Board approval, please contact me immediately. This last point maybe where all the confusion is coming from.

Sincerely,

Joshua Shainberg

Ł-806

GRAUBARD DECL. EX. 13

SEC 126 SP 0142

Abe Michael Shainberg

Counselor at Law
681 Lexington Avenue, 5th Roor
New York, NY 10022
Tel: 212-688-9220, Fax: 212-688-9298

March 29, 2001

Don West, President Board of Directors Seward Park Housing Corp. 413 Grand Street, Apt.# 1801 New York, N.Y. 10002

Dear Mr. West,

I am writing you this letter to inform you that my brother, Joshus Shainberg, of Apartment I.306, has been trying to get a new certificate and access to the Shainberg file. On numerous occasions my brother has written, telephoned and met you and other members of the Board of Directors of SPHC as well as Pat Goldwater regarding this. I do not understand how after his meeting with you and others he still is getting different answers from you, your associates and your employees(SPHC).

You tell him that he has every right to see the Shainberg file. Pat Goldwater, representing current management, says he does not. She claims that only you have the authority to let him gain access to his file. He is once again asking for access to the file.

Please note that our father's certificate was in this file but apparently is missing. This was the claim of previous management. I have read many SPHC releases on the previous management's alleged activities and I nor my brother are holding you responsible for any theft or misplacement or loss by previous management or their employees. All my brother wants is a replacement certificate.

The evidence of this certificate has been filed by SPHC with the IRS and other institutions. Concurrent with this replacement certificate, my brother has asked for a certificate in the following form, as is his legal right:

Estate of Nuta Shainberg, Joshus Shainberg, Administrator (of estate).

96%

GRAUBARD DECL. EX. 14

SEC127 SP0143

P.04

NOTRUS

My brother has provided you with the LOA of the Probate Court and all the papers required to do this. He has been given the run-around by Pat Goldwater. She told my brother that the reason he still does not have his certificate in this format was because the attorney's for SPHC might require a UCC or Lien scarch on the apartment. This is nonsense. In fact, my brother told Pat that he would get that search if needed. Pat dld not request this from him again.

You and Pat have told my brother that the ultimate reason for the lack of the produced certificate was the problem SPHC had experienced with the apparent misplaced files by previous management. We accept this answer. What we don't accept is different statements made between you and Pat. If my brother does not have the legal access to the Shainberg file then say so and send my offices a letter accordingly.

Upon receiving his new certificate as Administrator, my brother intends to file another application with SPHC to change the certificate to his(and his wife's) names.

We understand that this application process needs Board approval. You have received a three page letter (enclosed) regarding this previous application. Please read it again.

In conclusion, my brother has been instrumental in getting this new Board elected and you as President. It is quite shocking to him that he is now being treated as bad as the previous management treated him. Please respond immediately to this letter.

Specify, Azi hanse

be Shalnberg Esq.

CONSENT

Document 9-3

Seward Park Housing Corporation, a New York Housing Corporation (the "Lessor Corporation"), being the Lessor named in a certain proprietary lease (the "Lease") made by and between it and the Estate of Nuta Shainberg, ("Transferor") dated , which Apartment Lease covers L806 (the "Apartment") in premises 385 Grand Street, New York.

DOES HEREBY CONSENT, which consent has been approved in accordance with the provisions of the Lease, to the transfer of 39 shares of stock of Lessor Corporation and the Lease to Joshua Shainberg and Laryssa Shainberg, Transferee.

AND DOES HEREBY CERTIFY THAT:

- (2) Lessor Corporation makes no representation that Transferor has the right to transfer the shares and Lease other than that Transferor is now the record owner thereof on the books of Lessor Corporation.

IN WITNESS WHEREOF, Lessor Corporation has caused this instrument to be executed by its authorized officer on O(4000).

Seward Park Housing Corporation

By: Donald West
President

President

